

ANNEX A
GOVERNMENT PROCUREMENT POLICY BOARD
Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: Department of the Interior and Local Government
Date of Self Assessment: March 29, 2019

Name of Evaluator: Digna R. Herrera
Position: BAC Chairperson

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and Subindicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK					
Indicator 1. Competitive Bidding as Default Method of Procurement					
1	1.a Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	0.00%	0.00		PMRs
2	1.b Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00%	0.00		PMRs
Indicator 2. Limited Use of Alternative Methods of Procurement					
3	2.a Percentage of shopping contracts in terms of amount of total procurement	24.87%	0.00		PMRs
4	2.b Percentage of negotiated contracts in terms of amount of total procurement	61.79%	0.00		PMRs
5	2.c Percentage of direct contracting in terms of amount of total procurement	13.34%	0.00		PMRs
6	2.d Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
7	2.e Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
8	2.f Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
Indicator 3. Competitiveness of the Bidding Process					
9	3.a Average number of entities who acquired bidding documents	n/a	n/a		Agency records and/or PhilGEPS records
10	3.b Average number of bidders who submitted bids	n/a	n/a		Abstract of Bids or other agency records
11	3.c Average number of bidders who passed eligibility stage	n/a	n/a		Abstract of Bids or other agency records
12	3.d Sufficiency of period to prepare bids	n/a	n/a		Agency records and/or PhilGEPS records

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13	3.e Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		Average I	0.86		
PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY					
Indicator 4. Presence of Procurement Organizations					
14	4.a Creation of Bids and Awards Committee(s)	Substantially Compliant	2.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
15	4.b Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
Indicator 5. Procurement Planning and Implementation					
16	5.a An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
17	5.b Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
18	5.c Existing Green Specifications for GPPB-identified non-CSE items are adopted	Not Compliant	0.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indicator 6. Use of Government Electronic Procurement System					
19	6.a Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
20	6.b Percentage of contract award information posted by the PhilGEPS-registered Agency	n/a	n/a		Agency records and/or PhilGEPS records

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21 6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records

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Indicator 7. System for Disseminating and Monitoring Procurement Information					
22	7.a Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
23	7.b Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
		Average II	2.56		
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES					
Indicator 8. Efficiency of Procurement Processes					
24	8.a Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	90.63%	3.00		APP (including Supplemental amendments, if any) and PMRs
25	8.b Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	n/a	n/a		APP(including Supplemental amendments, if any)and PMRs
26	8.c Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	0.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations to order amount to 10% or less
Indicator 9. Compliance with Procurement Timeframes					
27	9.a Percentage of contracts awarded within prescribed period of action to procure goods	n/a	n/a		PMRs
28	9.b Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	n/a	n/a		PMRs
29	9.c Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs

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Indicator 10. Capacity Building for Government Personnel and Private Sector Participants					
30	10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00	Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
31	10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	40.00%	0.00	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
32	10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00	Ask for copies of documentation of activities for bidders
Indicator 11. Management of Procurement and Contract Management Records					
33	11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
34	11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00	Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indicator 12. Contract Management Procedures					
35	12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00	Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz

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36 12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	2.33		

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PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM					
Indicator 13. Observer Participation in Public Bidding					
37	13.a Observers are invited to attend stages of procurement as prescribed in the IRR	n/a	n/a		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indicator 14. Internal and External Audit of Procurement Activities					
38	14.a Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
39	14.b Audit Reports on procurement related transactions	Above 90-100% compliance	3.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indicator 15. Capacity to Handle Procurement Related Complaints					
40	15.a The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints
Indicator 16. Anti-Corruption Programs Related to Procurement					
41	16.a Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	2.25		
GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)			2.00		

Summary of APCPI Scores by Pillar

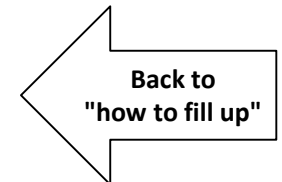
Agency Rating

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	APCPI Pillars	Ideal Rating	Agency Rating		
Pillar I	Legislative and Regulatory Framework	3.00	0.86		
Pillar II	Agency Insitutional Framework and Management Capacity	3.00	2.56		
Pillar III	Procurement Operations and Market Practices	3.00	2.33		
Pillar IV	Integrity and Transparency of Agency Procurement Systems	3.00	2.25		
Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4		3.00	2.00		



Prepared by: **JACELLE FAE T. YADAN**
 BAC Secretariat Chairperson

Reviewed by: **DIGNA R. HERRERA**
 BAC Chairperson

Approved by: **JONATHAN PAUL M. LEUSEN, JR., CESO III**
 Regional Director